

## Risk Register

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0104	If Covid-19 impacts on Children's Services so that: The service cannot deliver mission critical activities Demand cannot be managed Placement availability is reduced Statutory functions cannot be performed Statutory and regulatory requirements cannot be met There is insufficient staff with suitable qualifications and/or experience Services cannot be delivered within budget Savings cannot be delivered	Then this may result in: The health and wellbeing of children and young people being negatively impacted The health and wellbeing of the workforce being negatively impacted Increased CLA population Increased number of children in unregulated arrangements Financial risk to the local authority Reputational risk to the local authority Litigation risk to the local authority Delay in response leaving children and adults at risk of significant harm/ abuse/ neglect Inability to keep children safe	<i>Cllr Rachel Powell</i> Jan Coles	25	20	• Prioritisation; Redeployment; Training; Maximise resources; Increase placements; Covid guidance; PPE, Wellbeing support; Region	Control In Place	
COVID0093	Recovery - Precarious public service finances, with significant short and medium-term pressures faced particularly by local authorities along with future uncertainty around the UK Government's budgetary response to the economic fallout of COVID 19 and the impact of Brexit.	Ability of the Council to balance its revenue budget over the Medium Term Financial Strategy, due to funding settlements, loss of income, increased demand for services and associated costs and a delay in delivery of planned budget reductions, this will result in the council having to reduce services and staffing numbers to bring expenditure in line with income.	<i>Cllr Aled Davies</i> Jane Thomas	25	16	<ul style="list-style-type: none"> <li>Revise the Medium Term Financial Strategy</li> </ul> <p>11/03/2021 Council approved the Revenue Budget, Capital Programme, MTFS and set Council Tax for 2021/22. Forward Planning will begin again in April.</p> <ul style="list-style-type: none"> <li>Ongoing discussion with WG and WLGA through Society of Welsh Treasurers for Future Funding of Local Government</li> </ul> <p>11/03/2021 Dialogue continues through SWT with WLGA and Welsh Government</p> <ul style="list-style-type: none"> <li>Reassessment of the activities of the Council through the Recovery Coordination Group</li> </ul> <p>15/12/2020 Service reviews have been completed and pressures and cost reductions identified which have informed the overall corporate budget plan. Cabinet await the receipt of the WG provisional settlement before finalising the budget proposal for 2021/22. Workstreams are being identified to progress the plans for future years of the MTFS and this will progressed in the new year.</p>	Action In Progress	11/06/2021
							Action In Progress	11/04/2021
							Action Completed	

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COVID0044	Adult Services  Impact of COVID-19, including: Service User Illness and death; Unpaid Carer Illness; Staff Resource (further and unmanageable reduction through illness and self-isolation); Service provision volatility;	Unable to fulfil statutory obligations; Inability to provide care to service users; Service users are unsafe; Unpaid carers are unsafe; Staff are unsafe; Provider failure risk (residential, supported living, domiciliary care);	Cllr Myfanwy Alexander Dylan Owen	25	15	<ul style="list-style-type: none"> <li>Review budget position at end of first quarter and consider changes to the 2020/21 budget</li> </ul>	Control In Place	
						<ul style="list-style-type: none"> <li>Supporting uptake of mass vaccinations and mass testing across operational and commissioned services.</li> <li>Ongoing staff redeployment (from within Adult Social Care and other Council service areas)</li> </ul> <p>29/03/2021 This continues and will do so while the public health crisis remains.</p>	Action In Progress	02/08/2021
						<ul style="list-style-type: none"> <li>Provision of appropriate Personal Protective Equipment</li> </ul> <p>29/03/2021 The situation remains unchanged. There is currently sufficient supply.</p>	Action In Progress	29/06/2021
						<ul style="list-style-type: none"> <li>Work with providers to ensure flexibility and sustainability in conjunction with the hardship fund.</li> <li>Adult services daily sitreps</li> </ul>	Action In Progress	10/05/2021
						<ul style="list-style-type: none"> <li>Rapid recruitment for care staff</li> </ul> <p>29/07/2020 Recruitment was undertaken and staff are now in place. Recruitment continues.</p>	Action In Progress	10/05/2021
						<ul style="list-style-type: none"> <li>Testing for front-line staff</li> </ul> <p>25/01/2021 Lat flow testing now being rolled out for all front-line workers. Commencing initially with in-house reablement team. All necessary training undertaken and testing kits being delivered through PPE stations.</p>	Action Completed	
						<ul style="list-style-type: none"> <li>Block booked residential care beds to lock-in step down capacity</li> <li>Prioritise care calls – priority 1</li> <li>Work with C-SERT to support priority 2 calls</li> </ul>	Action Completed Control In Place Control In Place	

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COVID0092	<p>Recovery</p> <p>Socio-economic challenges given the immediate economic impact and likely recession, including increased unemployment and take-up of universal credit and other benefits</p>	<p>The economic and social wellbeing of the population is expected to be negatively impacted by the COVID19 pandemic effects, increasing demand on council services. There is a threat to the survival of businesses particularly in sectors such as tourism, hospitality, retail and leisure as lock down measures persist leading to an associated rise in unemployment levels and potential impact on the viability of town centres.</p>	<p>Owner</p> <p><i>Cllr Iain McIntosh</i> Nigel Brinn</p>	16	12	<ul style="list-style-type: none"> <li>Recovery Co-ordination Group</li> <li>Development and delivery of Mid Wales Growth Deal to support investment in the Powys economy</li> <li>Collaborative work to support businesses and the local economy</li> <li>Ongoing Covid 19 impact assessments</li> </ul> <p>24/03/2021 <i>Impact assessments are reviewed constantly in line with new guidance issued by Welsh Government.</i></p> <ul style="list-style-type: none"> <li>Thriving and Sustainable Economy Recovery Group</li> </ul> <p>24/03/2021 <i>This group continues to meet every two weeks.</i></p> <ul style="list-style-type: none"> <li>Business and Economic Recovery Cell established as part of response</li> <li>Powys County Council Business Survey</li> </ul> <p>27/07/2020 <i>Business survey has closed. Data to be analysed alongside impact assessments.</i></p> <ul style="list-style-type: none"> <li>Welsh Government Business Support Grants</li> <li>Vibrant and Resourceful Communities Recovery Group</li> </ul> <p>24/11/2020 <i>This group was now been stood down as the work is being completed under other workstreams.</i></p>	<ul style="list-style-type: none"> <li>Action In Progress</li> <li>Action In Progress</li> <li>Action In Progress</li> <li>Action In Progress</li> <li>Action Completed</li> <li>Action Completed</li> <li>Control In Place</li> <li>Withdrawn</li> </ul>	<ul style="list-style-type: none"> <li>14/07/2021</li> <li>08/05/2021</li> <li>08/05/2021</li> <li>24/04/2021</li> <li>24/04/2021</li> </ul>
COVID0045	<p>Impact of Covid-19 restrictions and redeployment on the capacity of the Planning &amp; Public Protection Service.</p>	<p>-Failure to deliver statutory services.</p> <p>-Income loss. 2021/2022</p> <p>-Failure to achieve savings targets.</p> <p>-Impact on the economy.</p> <p>-Health and safety risks.</p>	<p><i>Cllr Graham Breeze</i> Gwilym Davies</p>	12	12	<ul style="list-style-type: none"> <li>Keep up-to-date with Welsh Government &amp; Regulatory advice and legislation.</li> </ul> <p>14/01/2021 <i>No change proposed.</i></p> <ul style="list-style-type: none"> <li>Monitor Environmental Health staff resource requirements. Secure additional staff capacity where appropriate.</li> </ul> <p>25/03/2021 <i>No change proposed. Finding suitable candidates is proving difficult for the service area.</i></p> <ul style="list-style-type: none"> <li>Keep service customers up-to-date on service delivery.</li> </ul> <p>25/03/2021 <i>Control to be continued.</i></p> <ul style="list-style-type: none"> <li>Implement alternative service delivery methods, where appropriate.</li> </ul>	<ul style="list-style-type: none"> <li>Action In Progress</li> <li>Action In Progress</li> <li>Action In Progress</li> <li>Action In Progress</li> </ul>	<ul style="list-style-type: none"> <li>14/07/2021</li> <li>25/06/2021</li> <li>25/06/2021</li> <li>25/06/2021</li> </ul>

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						<p>25/03/2021 <i>Alternative service delivery is proving difficult given existing pressures.</i></p> <ul style="list-style-type: none"> <li>Prioritise work and identify and manage risk associated with work that cannot be undertaken due to limited workforce capacity</li> </ul>	Action In Progress	24/05/2021
						<p>24/02/2021 <i>We are reviewing workloads weekly to ensure that business critical work is being completed.</i></p> <ul style="list-style-type: none"> <li>Recruitment to vacant Planning posts to recommence.</li> <li>Secure the return of staff from redeployment where appropriate.</li> </ul>	Withdrawn	Withdrawn
COVID0017	Response Supply Chain Risk Shortages of staff to maintain processing of orders and payments	Failure to be able to provide an adequate service for the residents of Powys. esp Social Care  Disruption to other services e.g. Software Support	<i>Cllr Aled Davies</i> Jane Thomas	12	9	<ul style="list-style-type: none"> <li>Contact key suppliers and providers &amp; put in place alerts &amp; review the business continuity arrangements for suppliers.</li> </ul> <p>02/12/2020 <i>This risk is now green and has not materialized in a critical way and can be managed adequately through services</i></p> <ul style="list-style-type: none"> <li>Alternative Supply explored</li> </ul> <p>02/09/2020 <i>No change</i></p> <ul style="list-style-type: none"> <li>PPN 20 Supplier Relief considered for at risk suppliers</li> </ul> <p>01/04/2021 <i>Only one supplier are being supported under the supplier relief programme. Once leisure centre reopen in May this risk may be stood down</i></p>	Action Completed	
COVID0102	HOMELESSNESS: Demand and need for - and duties to provide - homelessness and related services continues to expand	<ul style="list-style-type: none"> <li>Increased costs to the Council of providing and securing temporary accommodation.</li> <li>Homelessness related demand for affordable homes reduces opportunities for other households in unsuitable homes to secure social housing.</li> <li>Increased use of and extended stays in temporary accommodation reduce health and well-being of clients, raising demand for social services.</li> </ul>	<i>Cllr Iain McIntosh</i> Dafydd Evans	16	9			

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COVID0007	Response  LEISURE/SPORT Leisure Contractor significantly affected by COVID-19 situation	Freedom Leisure - (i) Significant loss of income, (ii) inability to meet contract requirements, (iii) potential redundancies though lack of funds, (v) depleted / reduced reserves, inability to pay costs, staff or suppliers, (vi) reduced ability to ensure statutory compliance, (vii) inability to complete repairs, maintenance or capital programmes, projects or works which may result in longer term loss / reduction of facilities when normal business resumes, (viii) inability to recoup sufficient funds (i.e. management fees, external funding, staffing costs) either from clients through contracts, or through Central Government support Powys CC - (i) ensure advance payments do not conflict with Government support to Freedom (ii) the leisure centres could transfer back into the Council if Freedom Leisure become insolvent (iv) the Council do not have the resources to support leisure services transferring back to an in-house model	Cllr Rachel Powell Jenny Ashton	12	9	<ul style="list-style-type: none"> <li>Ongoing discussions between Powys CC &amp; Freedom Leisure in order to address this risk</li> </ul>	Action In Progress	18/04/2021
						<p>18/03/2021 An announcement is expected from Welsh Government on 25th March 2021 which may indicate that leisure and sports centres can start to prepare for reopening. What this might look like or what will be permitted is not yet known and no indication has been given.</p>		
COVID0103	Restricted access to homes for repairs, maintenance, servicing and inspections.	<ul style="list-style-type: none"> <li>Health and safety compromised if heating and other systems cannot be serviced correctly and on time.</li> <li>Deterioration in property conditions if repairs cannot be specified and undertaken as and when needed.</li> <li>Reduced customer care through lack of pre-void and post-works inspections.</li> <li>Increased costs arising from delayed improvement and investment programmes.</li> </ul>	Cllr Iain McIntosh Mark Davies	16	9	<ul style="list-style-type: none"> <li>Heating servicing step in for six months.</li> </ul>	Action In Progress	29/04/2021
						<p>29/03/2021 Robert Heath are carrying out all heating servicing on properties that are due between 01st March - 31st August 2021. Good progress is being made and all certificates received to date have been 100% correct. The PCC heating servicing manager to monitoring on a daily basis.</p>		

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COVID0028	<p>Response</p> <p>Insurance Risk – increase in claims and more undefendable at court, higher cost</p>	<p>Services unable to maintain provision, increase in claims</p> <p>Temporary staff &amp; Volunteers inadequately trained to fulfil roles</p> <p>Greater risks taken and inadequate records maintained</p>	<p>Owner</p> <p><i>Cllr Aled Davies</i> Anne Phillips</p>	12	9	<ul style="list-style-type: none"> <li>Communications, Training, risk appetite considered.</li> </ul> <p>04/08/2020 No change</p> <ul style="list-style-type: none"> <li>guidance from insurance sent to services</li> <li>Provide DBS and necessary training before staff volunteers commence</li> </ul>	<p>Action Completed</p> <p>Action Completed</p> <p>Control In Place</p>	
COVID0099	<p>Increased visits to hospital sites and homes in the community by social workers. The plan is to increase the presence of social workers on hospital sites and where appropriate support hospital discharges, attend MDTs and increase visits to those with sensory loss and business critical needs in the community.</p>	<p>Increased risk of exposure to COVID -19</p>	<p><i>Cllr Myfanwy Alexander</i> Adam Greenow</p>	12	8			
COVID0031	<p>CATERING Significant Loss of Income from school meals.</p>	<p>With the reopening of schools from the 3rd September school meal uptake has been low, with many parents opting to provide packed lunches. If school meal uptake doesn't increase over the autumn/winter terms it will place the service at a significant deficit at year end.</p>	<p><i>Cllr Phyl Davies</i> Jason Rawbone</p>	8	8	<ul style="list-style-type: none"> <li>Promotion of school meals in Autumn term</li> </ul> <p>12/04/2021 We are seeing an increase in uptake in our primary schools now with all primary pupils back in school from 15th March 2021. we will continue to monitor progress and promote school meals coming into the summer term 2021. It is hoped over the coming summer term we will see restrictions ease in our high schools, so we can offer mid morning break and main meal options again.</p>	<p>Action In Progress</p>	12/07/2021

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COVID0098	<p>Adult Social care</p> <p>Risk of legal challenge from providers on interpretation and implementation of eligibility for access to the Welsh Government Hardship Fund for social care providers.</p> <p>The concern is about the guidance provided on the hardship fund and the recent confusion from receiving slightly different guidance via the ADSS Cymru. PCC has worked closely with care providers to support them and to enable them to access the hardship fund.</p> <p>The original WG guidance set out that the fund could be used to pay for: "...loss of income and double running costs that arise because a care home has experienced a death or deaths as a result of Covid-19". The WG clarification shared a few days later advised that the fund could be used to pay:  "...where additional costs, including double commissioning costs, arise because a care home has experienced a death or deaths as a result of Covid-19 thereby creating a vacancy or void. Therefore funding could be used in this instance to cover that void, or to commission a placement elsewhere were it was not possible to do so with that home." We have worked accordingly.</p> <p>The new guidance by the ADSS suggests that the hardship fund can pay for voids in care homes that were not created by COVID-19:  "Taking account of your local decision making and discretion in relation to claims, we will remove the bullet on p7 of the guidance which details that the Hardship Fund does not cover "lost income other than where it relates directly from a COVID-19 death". Further clarification</p>	<p>This could damage relations with the provider market and could leave the Council, and possibly the Welsh Government, open to challenge and/or legal proceedings, as some providers in Wales will have received preferential options.</p>	<p>Owner</p> <p><i>Cllr Myfanwy Alexander Dylan Owen</i></p>	6	6	<p>Control or Action</p> <ul style="list-style-type: none"> <li>Further clarification sought from Welsh Government</li> </ul>	Action Completed	
						<p>01/09/2020 Clarification received and implemented. No further action required.</p>		

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	<p>sought has not helped understanding.</p> <p>We have already received a further claim from a provider who advise that they have seen the further guidance and wish to claim for a significantly more money. To change the interpretation of the guidance in any way at this point would require that we prepare a new pro-forma for providers to complete and submit; make contact with all service providers in order to request their consideration, amended calculation and submission for the past 4 months; to receive, scrutinise and pay them; and to submit our claim in 3 working days.</p> <p>The chosen option (following a discussion with WG Civil Servants) is to refuse the application received and to not offer the opportunity to other providers.</p>							
COVID0080	<p>Response/Recovery</p> <p>Inability to continue to provide an effective and efficient Contact Tracing service by PCC / PTHB to prevent the spread of Covid 19</p>	<p>1.Failure of Powys CC and Powys THB in partnership to continue to deliver on the production of a fully functioning and suitable contact tracing system.</p> <p>Unable to fulfil obligations</p> <p>2.Failure to control the spread of COVID-19 in Powys resulting in need for further lockdown, knock on effect on economy, mental health, council resource etc..</p> <p>3.No further funding made available from WG to support the delivery from September 2021</p> <p>4. Impact on services if large number of staff have to self isolate due to the spread of Covid 19</p>	<p><i>Cllr Iain McIntosh</i> Nigel Brinn</p>	12	6	<ul style="list-style-type: none"> <li>At a strategic level need to continue ensure adequate systems are in place to agree actions between PTHB and PCC. <ul style="list-style-type: none"> <li>24/03/2021 This agreement will be reviewed every 3 months by SOG. All working well at present.</li> </ul> </li> <li>Lobby Welsh Gov for Funding 2021/2022 <ul style="list-style-type: none"> <li>24/03/2021 All costs will be covered for TTP until September 2021</li> </ul> </li> <li>Continue to Implement an effective contact tracing service to assist preventing the spread of Covid 19 <ul style="list-style-type: none"> <li>24/03/2021 PCC are continuing to provide an effective and efficient contact tracing service. We continue to have excellent results and lead the way for Wales.</li> </ul> </li> <li>Need to retain the resources to support this project. <ul style="list-style-type: none"> <li>24/03/2021 150 staff are now in place and contracts have been confirmed until September 2021.</li> </ul> </li> <li>Agree TOR actions and processes with PTHB and monitor and review the service provided. <ul style="list-style-type: none"> <li>13/01/2021 An agreement is now in place between PTHB / PCC which will be reviewed by SOG every 3 months</li> </ul> </li> </ul>	<p>Action In Progress</p> <p>Action In Progress</p> <p>Action In Progress</p> <p>Action Completed</p> <p>Withdrawn</p>	<p>24/04/2021</p> <p>24/04/2021</p> <p>24/04/2021</p>



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COVID0024	Response  Potential Insolvency of participating Pension Fund Employers	Where Powys County Council acts as the "Guarantor" via risk sharing then the liabilities fall back onto the Powys part of the Fund. Where there is no risk sharing, then the liabilities will fall onto the rest of the participating employers within the Fund, but Powys are 91% of the Fund, so it will fall mainly onto Powys, with the remaining 9% spread over the other 22 Employers.	<i>Cllr Aled Davies</i> Chris Hurst	6	6	• Risk Sharing Agreements and Bonds in place with the majority of Employers.	Control In Place	
COVID0086	Recovery  The supply of PPE for public services could be adversely impacted by increased worldwide demand and blockage of the Suez canal.	A shortage of PPE for public services would put staff and the population at risk from coming into contact with the virus.	<i>Cllr Graham Breeze</i> Clive Pinney	12	6	• Monitor ability to procure PPE	Control In Place	

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COVID0008	<p>Response</p> <p>LEISURE/SPORT As a result of the COVID-19 outbreak, residents and communities become sedentary, participation and activity levels decrease, people's health &amp; well-being deteriorates (physical, mental, social &amp; emotional). Sport &amp; Active Development Team unable to deliver face to face activities, programmes, events, advice (including funding) and schemes</p>	<p>(i) Individuals, groups, pupils, communities, targeted provision, schools, clubs and athletes will experience a reduction / loss of service, physical activity and learning opportunities. (ii) Unless people are motivated, encouraged and engaged the levels of physical activity throughout the County will reduce. (iii) A reduction in physical activity may have a long-term impact on mental health. (iv) Social isolation will have a profound impact on communication, engagement and social interaction for many people. (v) Funding applications will be delayed causing recipients unable to re-start or initiate schemes, projects and activities when delivery returns to normal (vi) if people are inactive they may become sedentary long-term which would have a negative impact on the health and well-being of individuals, communities and groups.</p>	<p>Owner</p> <p><i>Cllr Rachel Powell</i> Jenny Ashton</p>	8	6	<ul style="list-style-type: none"> <li>Virtual &amp; remote resources to be made available</li> </ul>	Action In Progress	18/04/2021
						<p>18/03/2021 <i>The Sport Powys officers continue to engage and interact with people via various social media platforms - providing challenges, activities, advice, training &amp; funding opportunities and links to partners and other services. In agreement with Sport Wales, the officers have also received training so that they are able to undertake Welfare Calls to support other services and customers of the authority. The Sport Powys officers have also been undertaking development activities for themselves and liaising with partners and other organisations to identify and determine how programmes, schemes and activities could look once services are given the green light to reopen and reactivate.</i></p>		
						<ul style="list-style-type: none"> <li>Sport Wales funding for 2020-21 can be utilised flexibly during Covid-19</li> <li>Sport Development Team deployed to support Childcare Hubs</li> </ul>	Control In Place	
COVID0025	<p>Response</p> <p>Treasury Management -Maintaining the Authorities Cash flow to meet liabilities</p> <p>Reduced Levels of income coming into the Council</p>	<p>Treasury Management Function Staff reductions</p> <p>Potential loss of Grant funding</p> <p>Fee earning services disrupted or ceased</p> <p>Services not raising raising Debtor invoices or invoices not sent out (post issues)</p> <p>Reduction in Collection Rate for Council Tax</p> <p>Increase in Bad debt levels or bad debt enforcement</p>	<p><i>Cllr Aled Davies</i> Anne Phillips</p>	12	6	<ul style="list-style-type: none"> <li>Identify service income at risk and model impact of reductions</li> </ul>	Action Completed	
						<p>11/03/2021 <i>Hardship fund to meet costs and loss of income now confirmed so continue to make monthly claims</i></p>		
						<ul style="list-style-type: none"> <li>Discussion with other funding bodies, Internal comms</li> </ul>	Action Completed	
						<p>11/03/2021 <i>No change</i></p>		
						<ul style="list-style-type: none"> <li>Additional Borrowing</li> </ul>	Action Completed	
						<p>11/03/2021 <i>Additional short term borrowing undertaken to take us through to 31st March 2021. Significant additional funding received from Welsh Government in final months of the year. Under borrowed position maintained.</i></p>		

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						<ul style="list-style-type: none"> <li>reduced outgoings will mitigate reduced income</li> </ul>	Action Completed	
						<p>13/01/2021 no change, expenditure continues to be limited where possible and reported on a monthly basis</p>		
						<ul style="list-style-type: none"> <li>WG identified grant to fund C19 additional costs, and may fund other pressures &amp; reductions</li> </ul>	Action Completed	
						<p>13/01/2021 No change, costs continue to be claimed and refunds provided through the hardship fund.</p>		
						<ul style="list-style-type: none"> <li>Welsh Government open to discussions with individual authorities if problems arise</li> </ul>	Action Completed	
						<p>11/03/2021 Position remains but no discussions required to date.</p>		
						<ul style="list-style-type: none"> <li>Business Continuity Plan in place to maintain Treasury Management Function</li> </ul>	Control In Place	
						<p>22/06/2020 no change</p>		
COVID0090	Recovery Workforce health and wellbeing	Staff are demonstrating signs of fatigue from many months in emergency response mode and may not have the capacity / resilience to undertake recovery concurrently with response.	Cllr Graham Breeze Paul Bradshaw	12	6	<ul style="list-style-type: none"> <li>Recovery activity to reduce employee fatigue and promote positive return to office working where appropriate</li> </ul>	Action In Progress	10/06/2021

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						<p>10/03/2021 H&amp;S colleagues worked with colleagues in Estates to implement new and revised procedures and ways of working in line with IOSH guidance, ensuring safety. These new ways of working were communicated effectively throughout PCC and are monitored regularly. - The Council continues to facilitate large numbers of staff in working from home where possible and for staff to only attend an office attendance when essential / business critical. This will continue for the time-being and staff are required to complete risk assessments for each of these instances. - Managers are also encouraging the taking of Annual Leave where possible to allow employees to recuperate and re-energise, whilst maintaining critical delivery. - Positive communications around the new ways of working and continued restrictions and health and safety practices in place necessary to safeguard against COVID will continue to be shared and promoted to the workforce in a positive, caring and compassionate manner. - H&amp;S are working closely with our Occ Health partners and the EAP and continue to provide regular signposting and information for staff via the corporate communications mechanism. - Corporate Communications team have developed and disseminated two staff wellbeing surveys (in June and November) to capture the thoughts, feelings and mood of the workforce during the current climate. - Wellbeing initiatives to help teams to continue to interact and for staff to take breaks during the working day and to generally look after their wellbeing have been disseminated at service and / or the corporate level. All of which are designed and intended to help staff look after their wellbeing. This for example has included lunchtime walks, lunchtime singing and mindfulness. - Managers and staff have also been asked to undertake workplace assessments for home working to ensure that the working set up is safe and compliant with DSE requirements.</p>		
COVID0019	Response Exemptions to keep exiting contracts	Costs rise due to no re-procurement	Cllr Aled Davies Vincent Hanly	6	6	<ul style="list-style-type: none"> <li>Negotiate with suppliers well before contract end dates to negotiate better rates. Contracts reviewed individually for decision</li> </ul> <p>19/11/2020 This has been implemented for all appropriate contract and processes put in place during any potential contract extension to ensure negotiation etc are fruitful</p>	Control In Place	
COVID0023	Response Companies liquidating	Companies unable to sustain loss of business or reduced working/clients etc	Cllr Aled Davies Vincent Hanly	6	6	<ul style="list-style-type: none"> <li>Business relief approach clarified so some provision will be given to support our main contractors and try to remain viable</li> </ul> <p>13/01/2021 No change</p>	Action In Progress	13/04/2021

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
						<ul style="list-style-type: none"> <li>Residual risk being assessed</li> </ul>	Withdrawn	
						02/02/2021 Risk has not realised and can be removed form the register		
						<ul style="list-style-type: none"> <li>Government Support for Business clarified through grants / NNDR etc</li> </ul>	Withdrawn	
						09/02/2021 Many grants provided and this has shown t support supply chains and no issues are being received from our suppliers		
COVID0058	Post Inspection Action Plan (PIAP) for Estyn - Coronavirus may impact on the ability of the service to maintain the level of progress against the PIAP. In particular Recommendation 1 of the PIAP - 'Improve standards in secondary schools and more able learners' as schools are currently closed. Also, Recommendation 4 (the School Transformation / re-organisation programme) could be affected by the Council's ability to conduct strategy consultations relating to Schools Organisation.	Progress against the PIAP may be affected due to the Covid-19 pandemic.	Cllr Phyl Davies Lynette Lovell	12	6	<ul style="list-style-type: none"> <li>Continue to deliver service improvement</li> <li>September Update</li> <li>Ongoing progress / service improvement as specified in the PIAP</li> </ul>	Action In Progress Withdrawn Withdrawn	10/05/2021
						10/02/2021 This risk was reviewed on 10.02.21		
						Control	Withdrawn	
						28/01/2021 This risk was reviewed on 26/1/2021 and is up to date.		
						January 2021	Withdrawn	
COVID0100	Disruption to pupils' learning caused by a positive COVID-19 test within a bubble, cohort, school or travelling to school, or by a national closure of school years.	Adverse impact on the continuity of learning.	Cllr Phyl Davies Lynette Lovell	20	6	<ul style="list-style-type: none"> <li>Local Authority and school partnership guidance</li> <li>WG Guidance</li> <li>WG Guidance</li> </ul>	Action In Progress Action In Progress Withdrawn	17/05/2021 17/05/2021
COVID0029	Response Revenue Budget Risk	Unable to deliver a balanced budget for 2020/21  Undelivery of Cost Reductions  Increased costs across the organisation  Impact on the Councils Reserves  Impact on Medium Term Financial Strategy	Cllr Aled Davies Jane Thomas	25	4	<ul style="list-style-type: none"> <li>Ongoing discussion with WG</li> </ul>	Action In Progress	11/05/2021
						11/03/2021 No change monthly claims continue		
						<ul style="list-style-type: none"> <li>better understanding of all cost pressures, income losses, savings risks and cost savings</li> </ul>	Action In Progress	18/04/2021
						18/01/2021 Quarter 3 position shows further improvement of the forecast outturn. The pandemic is now clearly going to impact into the 2021/22 financial year and a Covid Financial risk log has been drawn up so that the Council understands the main drivers of cost and income loss if the pandemic continues.		
						<ul style="list-style-type: none"> <li>Reassess Budget Position monthly, Report and review mitigating action</li> </ul>	Action In Progress	11/04/2021

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
						<p>11/03/2021 Position improved in the last quarter with additional funding allocated by Welsh Government across many services. The February closedown will be reported in W/B 15/03/21 and is expected to show a projected underspend.</p> <ul style="list-style-type: none"> <li>Ensure appropriate recording of additional costs to enable claim through WG COVID hardship fund Action Completed</li> <li>Budget Management and virement reg's reinforced, decisions at service level that exceed service budgets must be escalated to EMT Control In Place</li> </ul> <p>04/08/2020 No change</p>		
COVID0060	Response  Reduced reputation for the council if pandemic is not managed well	Reputation damage Stakeholders loss of confidence in the council	Cllr Graham Breeze Nigel Brinn	9	4	<ul style="list-style-type: none"> <li>Business Continuity Plans invoked in response to pandemic Action In Progress 12/07/2021</li> </ul> <p>12/04/2021 Business continuity still in place but is being reviewed</p> <ul style="list-style-type: none"> <li>The Council established strategic Gold and operational Silver coordination groups to manage pandemic response. Action In Progress 12/07/2021</li> </ul> <p>12/04/2021 Gold and silver groups still operational but focus now includes recovery</p> <ul style="list-style-type: none"> <li>Leader is doing regular letter to Members, and frequent meetings are taking place with MP's / AM's Action In Progress 12/05/2021</li> </ul> <p>12/04/2021 Local media monthly column maintained with regular briefings to members, local and regional politicians Briefing were suspended March 22, 2021 due to pre-election period, they will re-start after May 6 Local media monthly column maintained with regular briefings to members, local and regional politicians Briefing were suspended March 22, 2021 due to pre-election period, they will re-start after May 6</p>		
COVID0101	Supporting the PTHB with the Mass Vaccination programme through our Avaya telephony system.	That the capacity of the phone lines is exceeded which may impact on other calls coming into the council.	Cllr Graham Breeze Diane Reynolds	9	4	<ul style="list-style-type: none"> <li>Monitoring of call volumes Action In Progress 28/04/2021</li> <li>Step down procedure in place Action In Progress 28/04/2021</li> <li>All contact centres within authority have been communicated with Action Completed</li> </ul>		